

DEC 12 2002

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
70551-49570

In Re Application Of: Yukako Nii

Serial No.
09/499,401

Filing Date
Feb. 7, 2000

Examiner
T. Vu

Group Art Unit
2174

Invention: INFORMATION PROCESSING APPARATUS AND METHOD WITH GRAPHICAL
USER INTERFACE ALLOWING PROCESSING CONDITION TO BE SET BY DRAG AND DROP,
AND MEDIUM ON WHICH PROCESSING PROGRAM THEREOF IS RECORDED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

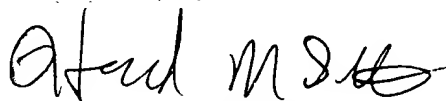
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Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: November 25, 2002

Howard M. Gitten
Reg. No. 32,138
EDWARDS & ANGELL, LLP
350 East Las Olas Blvd., Suite 1150
Ft. Lauderdale, FL 33301

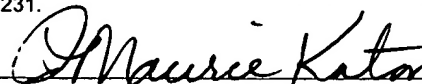
12/04/2002 WABDELRI 00000098 09499401

01 FC:1806

180.00 OP

Certificate of Mailing by First Class Mail

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Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

P. Maurie Katon

Typed or Printed Name of Person Mailing Correspondence

CC:

GP/2174\$

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
70551-49570

In Re Application Of: **Yukako Nii**

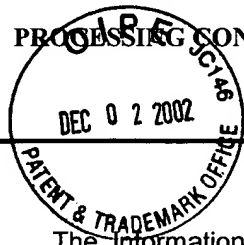
Serial No.
09/499,401

Filing Date
Feb. 7, 2000

Examiner
T. Vu

Group Art Unit
2174

Title: **INFORMATION PROCESSING APPARATUS AND METHOD WITH GRAPHICAL USER ALLOWING PROCESSING CONDITION TO BE SET BY DRAG AND DROP, AND MEDIUM ON WHICH PROCESSING**



Address to:

Assistant Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

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☒ A check in the amount of **\$180.00** is attached.

☐ Charge Deposit Account No.

in the amount of

Technology Center 2100

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F	
_____	(Date)
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P. Maurie Katon	
_____ Typed or Printed Name of Person Mailing Certificate	

*This certificate may only be used if paying by deposit account.

Signature

Howard M. Gitten

Reg. No. 32,138

EDWARDS & ANGELL, LLP

350 East Las Olas Boulevard, Suite 1150

Ft. Lauderdale, FL 33301

Dated: November 25, 2002

CC:



FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/499,401
Filing Date Feb. 7, 2000
First Named Inventor Yukako Nii
Examiner Name T. Vu
Group Art Unit 2174
Attorney Docket No. 70551-49570

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number 04-1105

Deposit Account Name Edwards & Angell, LLP.

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	740	2001	370
1002	330	2002	165
1003	510	2003	255
1004	740	2004	370
1005	160	2005	80
SUBTOTAL (1)		(\$)	0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = x =
Independent Claims -3** = x =
Multiple Dependent =

Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	84	2201	42
1203	280	2203	140
1204	84	2204	42
1205	18	2205	9
SUBTOTAL (2)		(\$)	0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 0.00 180.00

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SUBMITTED BY

Name (Print/Type) Howard M. Gitten

Registration No. 32,138
(Attorney/Agent)

Complete (if applicable)

Telephone 954-727-2600

Signature

Howard M. Gitten

Date

November 25, 2002

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20230, on the date shown below.

Dated: November 25, 2002 Signature: *P. Maurie Katon* (P. Maurie Katon)